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FROM: Anne M. Murphy

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OUR REF: 11669.163USU1

TELEPHONE: 612.371.5267

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Title of Documents Transmitted: 1. Supplemental Declaration under 37 C.F.R. § 1.67(a) for:

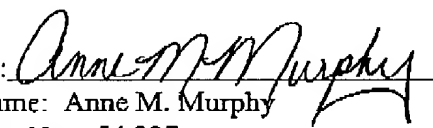
- a. Diane Pennica
- b. Victoria Smith
- c. William I. Wood

2. Application Data Sheet

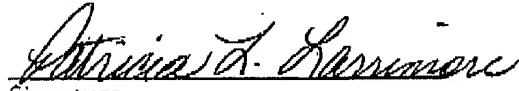
Applicant: **Pennica et al.**
Serial No.: **09/759,056**
Filed: **January 11, 2001**
Group Art Unit: **1631**

Our Ref. No.: **11669.163USU1**
Confirmation No.: **1938**
Customer No.: **23552**

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By: 
Name: Anne M. Murphy
Reg. No.: 54,327
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Attorney Docket No. 11669.163USU1

MERCHANT & GOULD P.C.

United States Patent Application

SUPPLEMENTAL DECLARATION UNDER 37 C.F.R. §1.67(a)

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: NOVEL STRA6 POLYPEPTIDES

The specification of which

- a. ☐ is attached hereto
b. ☒ was filed on January 11, 2001 as application serial no. 09/759,056 and was amended on May 6, 2003, which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

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- a. ☒ no such applications have been filed.
b. ☐ such applications have been filed as follows:

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COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
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U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

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60/228,914	August 29, 2000
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60/175,849	January 13, 2000

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§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

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- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

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- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;

or

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(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

(e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name Of Inventor	Family Name PENNICA	First Given Name DIANE	Second Given Name
0	Residence & Citizenship	City Burlingame	State or Foreign Country California	Country of Citizenship USA
1	Mailing Address	Address 2417 Hale Drive	City Burlingame	State & Zip Code/Country California 94010/USA
Signature of Inventor 201: <i>Diane Pennica</i>			Date: 7-27-04	
2	Full Name Of Inventor	Family Name SMITH	First Given Name VICTORIA	Second Given Name
0	Residence & Citizenship	City Burlingame	State or Foreign Country California	Country of Citizenship Australia
2	Mailing Address	Address 19 Dwight Road	City Burlingame	State & Zip Code/Country California 94010/USA
Signature of Inventor 202:			Date:	
2	Full Name Of Inventor	Family Name WOOD	First Given Name WILLIAM	Second Given Name I.
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Signature of Inventor 203:			Date:	

Attorney Docket No. 11669-163USU1

MERCHANT & GOULD P.C.

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2	Mailing Address	Address 19 Dwight Road	City Burlingame	State & Zip Code/Country California 94010/USA
Signature of Inventor 202:			Date: 8-30-04	
2	Full Name Of Inventor	Family Name WOOD	First Given Name WILLIAM	Second Given Name I.
0	Residence & Citizenship	City Cupertino	State or Foreign Country California	Country of Citizenship USA
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3	Mailing Address	Address 15060 Montebello Road	City Hillsborough	State & Zip Code/Country California 95014/USA
Signature of Inventor 203:			Date:	

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